COVER SHEET

S.E.C. Registration Number

(Company's Full Name) S olw SIE (Business Address: No. Street City / Town / Province) 632-09-05 **DARWIN S. OCAMPO** Contact Person **Company Telephone Number** LAST FRIDAY OF JUNE 3 Day Month Day Month Fiscal Year **Annual Meeting** $\mathbf{O}\mathbf{F}$ $\mathbf{G}|\mathbf{I}|$ S Secondary License Type, If Applicable Dept. Requiring this Doc. Amended Articles Number/Section **Total Amount of Borrowings** Total No. of Stockholders Domestic Foreign To be Accomplished by SEC Personnel concerned LCU File Number Document I.D. Cashier **STAMPS**

Remarks = pls. use black ink for scanning purposes

T<u>an</u> V<u>enturanza</u> V<u>aldez</u>

2704 East Tower Philippine Stock Exchange Centre Exchange Road, Ortigas Center 1605 Pasig City, Metro Manila Philippines

P.O. Box 12767 Ortigas Center Post Office 1605 Pasig City, Metro Manila Philippines



(632) 632-0905



(632) 635-4703



info@tvvlaw.com



www.tvvlaw.com

A. BAYANI K. TAN
RIO S. E. VENTURANZA
ENRICO G. VALDEZ
HOSANNA T. AYSON
RENO R. GONZALES, JR.
JASON C. NALUPTA

MARIA CECILIA V. SORIA
AMRAPHEL A. ESPIRITU
EVAN E. DOMASIAN
PAULA DANICA L. TORKASHVAND
DARWIN S. OCAMPO
KAMILLE JOYCE E. CARRANZA
DARLENE R. VIVAS
EMMA THERESA M. CABOCHAN
CAROL N. DEANG-TAN
ANNA FRANCESCA C. RESPICIO
JOSHUA PAUL S. ANGUSTIA
MABELLE O. NEBRES

6 May 2013

1.5

D

RECEIVED STATE

SECURITIES AND EXCHANGE COMMISSION SEC Building, EDSA, Greenhills Mandaluyong City, Metro Manila

Attention:

Dir. Justina F. Callangan

Acting Director, Corporation Finance Department

Re:

Amendment of General Information Sheet

Gentlemen:

We write in behalf of our client, **VANTAGE EQUITIES**, **INC**. (the "Corporation"), with SEC Registration No. AS092-007059, and submit herewith an amendment to its General Information Sheet to reflect the following:

- 1. Intercompany affiliations;
- 2. Tax Identification Numbers of Top 20 Stockholders;
- 3. Type of License/Registration;
- 4. Date of Issuance of the Secondary License; and
- 5. Date the company started operations.

We trust that you will find the document in order.

Xery truly yours,

for the Firm

Encls.: a/s

f:\data\clients\213\lt\sec\amend.gis (213).doc ABKTUCN\DSO\janet\213-200

Philippine Member:



AMENDED GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2012 STOCK CORPORATION

GENERAL INSTRUCTIONS:

- 1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.
- 2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS TOGETHER WITH AN AFFIDAVIT OF NON-HOLDING OF MEETING WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE SCHEDULED ANNUAL MEETING (AS PROVIDED IN THE BY-LAWS). HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE
- 3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
- 4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
- 5. SUBMIT FIVE (5) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
- 6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
- 7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

VIOLATION OF EXISTING LAWS, RULES AND REG	ULATIONS					
400222233344444444444444444444444444444	== PLEASE PRINT LEGIBLY		***************************************			
CORPORATE NAME: VANTAG	SE EQUITIES, INC.			DATE REGISTERED:		
BUSINESS/TRADE NAME:	(See	And the second		20-Oct-92		
SEC REGISTRATION NUMBER: AS092-00	07059	Reministry and		31-Dec		
DATE OF ANNUAL MEETING PER BY-LAWS:	D SY:		3	CORPORATE TAX IDENTIFICATION NUMBER (TIN)		
Last Frida	y of June	Pivitie Schol Filial Malei	HCT TO HEATEN OF	002-010-620		
ACTUAL DATE OF ANNUAL MEETING:		Charles Californ	and the second s	WEBSITE/URL ADDRESS:		
8/4/2012				www.ivantage.ph		
COMPLETE PRINCIPAL OFFICE ADDRESS:				E-MAIL ADDRESS:		
Metropoli	tan Manila			L-WAIL ADDILLOG.		
COMPLETE BUSINESS ADDRESS: 2703 East	-	FAX NUMBER:				
Cente, Ex	change Road, Ortigas C	enter, Pa	asig City	706-0795		
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTN	ER:	1	CREDITATION	TELEPHONE NUMBER(S):		
Sycip Gorres Velayo & Co./Vicky B. Lee-Salas	R (if applicable):	638-9990				
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY	PENGAGED IN:	INDUST	RY CLASSIFICATION:	GEOGRAPHICAL CODE:		
Holding/Investment	· .					
========================== NTE	RCOMPANY AFFILIATION	ONS ==	=======================================	=======================================		
PARENT COMPANY	SEC REGISTRATIO	N NO.	A	DDRESS		
N/A		!				
SUBSIDIARY/AFFILIATE	SEC REGISTRATIO	N NO.		DDRESS		
e-Business Services, Inc.	20/F East Tower, PSE, Cer Center, Pasig City	entre, Exchange Road, Ortigas				
Yehey! Corporation	<u>A199806865</u>		38/F Discovery Centre, 25	ADB Avenue, Ortigas Center,		
Phileguity Management, Inc.	AS094-002447		Suite 2703-A, East Tower,	PSE, Centre, Exchange Road,		
Philequity Balanced Fund, Inc.	<u>CS200805554</u>	,	2004 East Tower, PSE, Centre, Exchange Road, Ortic Center, Pasig City			
(Currencies, Inc.	<u>A200001286</u>		Center, Pasig City	ast Tower, PSE, Centre, Exchange Road, Ortigas Pasig City		
NOTE:	USE ADDITIONAL SHEET IF	NECESS	SARY			

GENERAL INFORMATION SHEET STOCK CORPORATION

			CADITA	L STRUCTURE				•
UTHORIZED CAF	ITAL STOCK	<u> </u>	CAPITA	LUINOIURE		-		
		TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	ED VALUE		AMOUNT (Ph (No. of shares X Par/S	
	· .	Common	2,250,000,000		1.	00	2	,250,000,000.0

		TOTAL	2,250,000,000		TOTAL	Р		2,250,000,000.
UBSCRIBED CAF	PITAL	<u> </u>				<u> </u>		
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATEI VALUE	,	AMOUNT (PhP)	% OF OWNERSHIP
	657	COMMON	2,098,651,948	****	1	.00	2,098,651,948.00	99.9
	***************************************	TREASURY	134,855,500					
			***************************************	4444444	***************************************			
	J	TOTAL	2,098,651,948	TOTAL	TOTAL	Р	2,095,425,635.00	99.91
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATEI VALUE)	AMOUNT (PhP)	% OF OWNERSHIF
American	3	COMMON	230,499			,00	230,499.00	0.01
Chinese	4	COMMON	83,624 1,569,062			.00	83,624.00 1,569,062.00	0.004
Others	5	COMMON	1,569,062			.00	1,000,002.00	
		,		***************************************				
ercentage of Fore	eign Equity :	TOTAL	1,883,185		TOTAL SUBSCRIBED	P	1,883,185.00 2,100,535,133.00	0.09
AID-UP CAPITAL				TOTAL	OODOONIDED		2,100,000,100.00	
FILIPINO	NO. OF STOCK- HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STAT	ED VALUE	D VALUE AMOUNT (PhP)		% OF OWNERSHIP
	676	COMMON	2,098,651,948	***************************************	1.	00	2,098,651,948.00	99.91
	******************	TREASURY	134,855,500	*************************			.,,,,,,	
				***************************************		•••••	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	
FOREIGN (INDICATE BY	NO. OF STOCK-	TOTAL TYPE OF SHARES *	2,098,651,948 NUMBER OF SHARES	PAR/STAT	TOTAL ED VALUE	P	2,098,651,948.00	99.91 % OF OWNERSHIF
NATIONALITY)	HOLDERS	COMMON			- 1	00	230,499.00	0.01
American Chinese	3	COMMON	230,499 83,624			00 00	83,624.00	0.004
Others	5	COMMON	1,569,062			00	1,569,062.00	0.07
			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		*****			
	<u> </u>	TOTAL	1,883,185		TOTAL	Р	1,883,185.00	0.09
				TC	TAL PAID-UP	Р	2,100,535,133.00	100
		, NO	TE: USE ADDITIONA	AL SHEET IF NECE	SSARY			
Common Prefer	red or other cla				·			

GENERAL INFORMATION SHEET

STOCK CORPORATION

CORPORATE NAME: VANTAGE EQUITIES, INC.

INC'R	BOARD	STOCK HOLDER	OFFICER	EXEC. COMM	TAX IDENTIFICATION NO (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
N	С	Y	Chairman & CEO	E/C C/M	122-335-536 Filipino
N	М	Y	President & COO	E/M A/M	107-184-956 Filipino
N	М	Υ	Treasurer	E/M N/M C/C	108-789-427 Filipino
N	Y	Y Independent Director	N/A	E/M A/C N/M C/M	127-552-006 Filipino
N	М	Y Independent Director	N/A	A/M	105-379-031 Filipino
N	М	Y	N/A	E/M C/M	228-111-486 Filipino
N	М	Y	N/A	E/M N/C C/M	110-129-867 Filipino
N	М	Y	N/A	NVA	101-934-954 Filipino
Y	M _.	Υ	N/A	E/M	122-113-170 Filipino
N	N	Υ	Corporate Secretary	N\A	102-054-041 Filipino
N	N	N	Asst. Corporate Secretary	N\A	402-304-300 Filipino
	N N N N N N N N N N N N N N N N N N N	N C N M N M N M N M N M N M N M N N M N N M N N M	INC'R BOARD HOLDER N C Y N M Y N M Y Independent Director N M Y N M Y N M Y N M Y N M Y N M Y N M Y N M Y N M Y N M Y N M Y N M Y N M Y	N C Y Chairman & CEO N M Y President & COO N M Y Independent Director N M Y N/A N N N A Secretary Asst. Corporate Secretary	N

INSTRUCTIONS:

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.
FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.
FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.
FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.
FOR EXECUTIVE COMMITTEE: "N" FOR NOMINATION
FOR EXECUTIVE COMMITTEE: "N" FOR NOMINATION
FOR EXECUTIVE COMMITTEE: "N" FOR NOMINATION
Page 3

GENERAL INFORMATION SHEET

STOCK CORPORATION

		PLEAS	E PRINT LEGIBI	_Y				
CORPORATE NAME:	VANTAGE EQUITIES, IN	VC.						
	·				•			
1. INVESTMENT OF CO	DOORATE		AMOUNT (PhP\		DATE OF	BOARD RESOLUTION	
1. INVESTMENT OF CO FUNDS IN ANOTHER			VINOOIAI (,			= =:==	
1.1 STOCKS	JON ONTROL							
1.1 310003								
1.2 BONDS/COMME	RCIAL PAPER (Issued							
by Private Corpor							,	
1.3 LOANS/ CREDIT								
1.4 GOVERNMENT	TREASURY BILLS		,				+	
4.F CTUEDO								
1.5 OTHERS								
2. INVESTMENT OF CO	RPORATE FUNDS IN A	CTIVITIES	UNDER ITS] [DATE OF BO	DARD	DATE OF	
	SES (PLEASE SPECIF)		• • • • • • • • • • • • • • • • • • • •		RESOLUTI	1	STOCKHOLDERS	
020011271111111111111111111111111111111	 	,		i			RATIFICATION	
	N/A							
A TREACURY CUA	DEĆ			 			% AS TO THE TOTAL	
3. TREASURY SHA	KE3				NO. OF SHA	ARES	NO. OF SHARES	
)·		•	'	NO, OF SHA	'I'LO	ISSUED	
					134	4,855,500	6.42%	
4. UNRESTRICTED/UNA	APPROPRIATED RETAIN	VED EAR	NINGS AS OF E	END O	F LAST FISC	CAL YEAR	N/A	
	ED DURING THE IMMED						N/A	
		JI/ 11 CE : 1					DATE DECLARED	
TYPE	OF DIVIDEND	į	ļ P	AMOUNT (PhP) D.				
5,1 CASH								
5.3 PROPERTY	· · · · · · · · · · · · · · · · · · ·				·····			
		OTAL						
6. ADDITIONAL SHAP	RES ISSUED DURING T		DD:				N/A	
DATE	NO. OF	SHARES		l	AMOUNT			
							·	
							,	
				<u> </u>				
	SE/REGISTRATION WI	TH SEC A			GENCY:	T		
NAME OF AGENCY:	SEC		E	SSP			IC	
TYPE OF	Permit to Sell Secur	rities						
LICENSE/REGN.	T CITING TO OCH OCCU	1100						
DATE ISSUED:	<u>4-Mar-93</u>							
·						<u> </u>		
DATE STARTED	<u>Jun-98</u>							
OPERATIONS:		···	10.05.055:05		TOTAL NO	CE DAVIS	TOTAL MANIDOWICD	
TOTAL ANNUAL CO	MPENSATION OF	TOTAL	NO. OF OFFICE	–	TOTAL NO.	1	TOTAL MANPOWER COMPLEMENT	
DIRECTORS DURING	G THE PRECEDING			'	& FILE EMP	LUIEES	COMPLEMENT	
FISCAL YEA	AR (in PhP)	·		1				
*Note: Resulting fractional s	hares were converted into c	ash and di	stributed to the ap	propria	te shareholde	rs.		
	NATE TIME	ADDITIO	NAL SHEET IF	NECE	ČČADV			

GENERAL INFORMATION SHEET STOCK CORPORATION

OTAL NUMBER OF STOCKHOLDERS:	688		NO. OF STOCKHOLDE	RS WITH 100	OR MORE SHARES E	ACH: 688
OTAL NUMBER OF STOCKHOLDERS: OTAL ASSETS BASED ON LATEST AUDITED FI			NO. OF STOCKHOLDE			
OTAL ASSETS BASED ON LATEST ADDITED TO	TAITOIAL OT		ER'S INFORMATIO	<u> </u>		
	1		SUBSCRIBED			TAX IDENTIFICATION NO
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	(TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
1.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	_		•	
PLEASE SEE ATTACHED LIST OF TOP 20 STOCKHOLDERS (ANNEX "A)	TOTAL					
2.	TOTAL	-				
<u>.</u>						
	TOTAL	-				
3.			-			
	TOTAL	-	-			
4.						
	TOTAL					
5.	101AC	-				
	TOTAL	**************************************	-			
6.			-		,	
7.	TOTAL		-			
	TOTAL			0		
8:	TOTAL		-			

	TOTAL	-	-			
9.		.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				

	TOTAL	-	-			
. •.		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			
	TOTAL		-			
OTAL SHARES & AMOUNT SUBSCRIBED	1	-		_		
		TOTAL AMOUN	T OF PAID-UP CAPITA	L PhP	-	
	DE0151	E TOD 00 070 1	MINI DEDO AND 12	DICATE TI	E DEST AS OTHER	
INSTRUCTION: S lote: For PDTC Nominee included in the list, ple	PECIFY TH	E TOP 20 STOC	KHOLDERS AND IN	ore than 5%	of any class of the co	npany's voting securities.
ttach separate sheet, if necessary.						

I, DARWIN S. OCAMPO, ASSISTANT CORPORATE SECRETARY OF THE ABOVEMENTIONED CORPORATION, DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET (GIS) WHICH CONSISTS OF SEVEN (7) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 6TH DAY OF MAY 2013 AT MAKATI CITY.

DARWIN S. OCAMP

SUBSCRIBED AND SWORN TO BEFORE ME IN PASISATION MANILA, PHILIPPINES ON THIS 6TH DAY OF MAY 2013 AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS COMMUNITY TAX CERTIFICATE NO. 00004537 ISSUED ON 14 JANUARY 2013 AT QUEZON CITY AND TAXPAYER IDENTIFICATION NO. 402-304-390.

DOC. NO. 257; PAGE NO. 53; BOOK NO. 1; SERIES OF 2013.

And THERESA M. CABOCHAN
Notary Public for and in Makati City
Appointment No. M-514 (2012-2013)
Commission Expires on December 31, 2013
2/F JTKC Center, 2155 Chino Roces Street
Makati City, Metro Manila
Attorney's Roll No. 60586
PTR No. 8411740 / 01.03.2013 / Pasig City
18P No. 913438 / 12.28.12 / Quezon City

ile No. 213-2-04\ABKT\DSO\janet

VANTAGE EQUITIES, INC. LIST OF TOP 20 STOCKHOLDERS AS OF JUNE 30, 2012

	STOCKHOLDER'S NAME	TYPE OF SHARES	NO. OF SHARES	PERCENTAGE	TIN
1	PCD NOMINEE CORP.	Common	2,129,789,769.00	95.28%	<u>004-774-849</u>
2	PUA YOK BING	Common	23,862,500.00	1.07%	<u>107-872-457</u>
3	TRANS- ASIA SECURITIES, INC.	Common	6,830,000.00	0.31%	<u>000-339-493</u>
4	SYSMART CORPORATION	Common	5,000,000.00	0.22%	<u>005-037-539</u>
5	EAST PACIFIC INVESTORS CORPORATION	Common	4,520,000.00	0.20%	<u>000-663-918</u>
6	PCD NOMINEE CORPORATION (NON-FILIPINO)	Common	4,351,000.00	0.19%	<u>004-774-849</u>
7	A. BROWN COMPANY, INC.	Common	3,441,250.00	0.15%	<u>002-724-446</u>
8	SYSMART CORP.	Common	3,428,375.00	0.15%	<u>005-037-539</u>
9	LUCIO W. YAN &/OR CLARA YAN	Common	3,406,250.00	0.15%	<u>102-646-906</u>
10	RICARDO L. NG	Common	1,624,375.00	0.07%	<u>170-049-653</u>
11	AGAPITO C. BALAGTAS, JR.	Common	1,437,500.00	0.06%	<u>158-209-901</u>
12	APRICINIA B. FERNANDEZ	Common	1,437,500.00	0.06%	<u>106-246-487</u>
13	SUZANNE LIM	Common	1,437,500.00	0.06%	<u>106-554-567</u>
14	HARLEY SY	Common	1,437,500.00	0.06%	<u>100-210-483</u>
15	CYGNET DEVELOPMENT CORPORATION	Common	1,406,250.00	0.06%	<u>000-222-754</u>
16	JERRY TIU	Common	1,365,625.00	0.06%	<u>106-218-979</u>
17	CAMPOS, LANUZA & CO., INC.	Common	1,161,500.00	0.05%	<u>000-155-524</u>
18	WILSON L. SY	Common	1,150,000.00	0.05%	<u>122-113-170</u>
19	DAVID GO SECURITIES CORP.	Common	935,000.00	0.04%	<u>000-320-855</u>
20	JOHN PETER YU &/OR JUAN YU	Common	800,000.00	0.04%	<u>121-164-989</u>