

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2016
STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N/A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: VANTAGE EQUITIES, INC.		DATE REGISTERED: 20-Oct-92
BUSINESS/TRADE NAME: N/A		FISCAL YEAR END: 31-Dec
SEC REGISTRATION NUMBER: AS092-007059		CORPORATE TAX IDENTIFICATION NUMBER (TIN) 002-010-620
DATE OF ANNUAL MEETING PER BY-LAWS: Last Friday of June		WEBSITE/URL ADDRESS: www.vantage.com.ph
ACTUAL DATE OF ANNUAL MEETING: 13 August 2016		E-MAIL ADDRESS: N/A
COMPLETE PRINCIPAL OFFICE ADDRESS: 2005 East Tower PSE Centre, Ortigas Center, Pasig City Metro Manila, Philippines		FAX NUMBER: 689-8095
COMPLETE BUSINESS ADDRESS: 2005 East Tower, PSE Centre Exchange Road, Ortigas Center, Pasig City		TELEPHONE NUMBER(S): 638-9990
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Sycip Gorres Velayo & Co./Michael C. Sabado	SEC ACCREDITATION NUMBER (if applicable): 0664-AR-2	GEOGRAPHICAL CODE: 134403000
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Holding/Investment	INDUSTRY CLASSIFICATION: Financial	

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
e-Business Services, Inc.	A1998-02914	2004A East Tower, PSE, Centre, Exchange Road, Ortigas Center, Pasig City
Yehey Corporation	A199806865	1801E East Tower, PSE, Centre, Exchange Road, Ortigas Center, Pasig City
Philequity Management, Inc.	AS094-002447	2104A East Tower, PSE, Centre, Exchange Road, Ortigas Center, Pasig City
iCurrencies, Inc.	A200001286	2004A East Tower, PSE, Centre, Exchange Road, Ortigas Center, Pasig City
Philequity Balanced Fund, Inc.	CS200005554	2004A East Tower, PSE, Centre, Exchange Road, Ortigas Center, Pasig City
Philequity Foreign Currency Fixed Income Fund, Inc.	CS200005173	2004A East Tower, PSE, Centre, Exchange Road, Ortigas Center, Pasig City
eBiz Financial Services, Inc.	A1998-02914	2004A East Tower, PSE, Centre, Exchange Road, Ortigas Center, Pasig City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

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Corporate Name: **VANTAGE EQUITIES, INC.****A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**Yes ☒ No ☐

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☒ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐

Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐

Jewelry dealers in precious stones, who, as a business, trade in precious stone

6.

Company service providers which, as a business, provide any of the following services to third parties:

☐ a.

acting as a formation agent of juridical persons

☐ b.

acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons

☐ c.

providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

☐ d.

acting as (or arranging for another person to act as) a nominee shareholder for another person

7.

Persons who provide any of the following services:

☐ a.

managing of client money, securities or other assets

☐ b.

management of bank, savings or securities accounts

☐ c.

organization of contributions for the creation, operation or management of companies

☐ d.

creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☒

None of the above

Describe nature of business:

Holding/Investment

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of itsYes ☒ No ☐

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: VANTAGE EQUITIES, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	5,000,000,000	1.00	5,000,000,000.00
TOTAL		5,000,000,000	TOTAL P	5,000,000,000.00

SUBSCRIBED CAPITAL***

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	610	COMMON	4,192,541,888		1.00	4,192,541,888.00	99.83%
TOTAL			4,192,541,888	TOTAL	TOTAL P	4,192,541,888.00	99.83%
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
American	3	COMMON	460,998		1.00	460,998.00	0.01%
Chinese	3	COMMON	138,498		1.00	138,498.00	0.003%
Others	5	COMMON	6,440,882		1.00	6,440,882.00	0.15%
TOTAL			7,040,378	TOTAL	TOTAL P	7,040,378	0.1676%
Percentage of Foreign Equity :				TOTAL SUBSCRIBED*** P		4,199,582,266.00	100%

PAID-UP CAPITAL***

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP	
	610	COMMON	4,192,541,888	1.00	4,192,541,888.00	99.83%	
TOTAL			4,192,541,888	TOTAL P	4,192,541,888.00	99.83%	
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE		% OF OWNERSHIP	
American	3	COMMON	460,998	1.00	460,998.00	0.01%	
Chinese	3	COMMON	138,498	1.00	138,498.00	0.003%	
Others	5	COMMON	6,440,882	1.00	6,440,882.00	0.15%	
TOTAL			7,040,378	TOTAL P	7,040,378.00	0.1676%	
TOTAL PAID-UP					P	4,199,582,266.00	100%

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

*** Note: Excluding treasury shares (135,599,500)

GENERAL INFORMATION SHEET

STOCK CORPORATION

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CORPORATE NAME: VANTAGE EQUITIES, INC.

DIRECTORS / OFFICERS

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	INC'R	BOARD	SEX	STOCK HOLDER	OFFICER	EXEC. COMM	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
1. VALENTINO C. SY 19 Brixton Hills St. Quezon City, Metro Manila	N	C	M	Y	Chairman & CEO	C/M	122-335-536 Filipino
2. EDMUNDO MARCO P. BUNYI, JR. 8 Batangas Bay Drive, Southbay Garden Parañaque City, Metro Manila	N	M	M	Y	President	A/M	107-184-956 Filipino
3. JOSEPH L. ONG 178 Pilar St. San Juan City, Metro Manila		M	M	Y	Treasurer	N/M C/C	108-789-427 Filipino
4. GREGORIO T. YU 10 Francis Street Corinthian Gardens, Quezon City	Y	I	M	Y	-	A/C N/M C/M	107-465-655 Filipino
5. ANTONIO R. SAMSON 39 Lopez Jaena St., Ayala Heights Diliman, Quezon City, Metro Manila	N	I	M	Y	-	A/M C/M	105-379-031 Filipino
6. IGNACIO B. GIMENEZ 25 Eisenhower St., Greenhills San Juan City, Metro Manila	N	M	M	Y	-	-	228-111-486 Filipino
7. ROBERTO Z. LORAYES 16 Rosario St., Magallanes Village Makati City, Metro Manila	N	M	M	Y	-	N/C C/M	110-129-867 Filipino
8. WILLY N. OCIER 32 Wilson Street San Juan, Metro Manila	N	M	M	Y	-	-	101-934-954 Filipino
9. WILSON L. SY 57 Vicente Rufino St., Corinthian Gardens Quezon City, Metro Manila	Y	M	M	Y	-	-	122-113-170 Filipino
10. A. BAYANI K. TAN 57 Athena Loop, Paladium, Shaw Blvd. Mandaluyong City, Metro Manila	N		M	Y	Corporate Secretary	-	102-054-041 Filipino
11. ADRIAN S. BUSTOS 24 Calderon Street, Project 4, Quezon City	N		M	N	Asst. Corporate Secretary	-	435-573-019 Filipino
12.							
13.							
14.							
15.							

INSTRUCTIONS:

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,

SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

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CORPORATE NAME: VANTAGE EQUITIES, INC.						
TOTAL NUMBER OF STOCKHOLDERS: 621			NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 621			
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: ₱6,959,283,055.00						
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER- SHIP	AMOUNT PAID (PhP)	TAX IDENTIFICATION NO. (TIN) FOR FILIPINOS or PASSPORT NO. FOR FOREIGNERS
1. PLEASE SEE ATTACHED LIST OF TOP 20 STOCKHOLDERS AND PDTC NOMINEE AS OF 31 AUG 2016 (ANNEX A)			-			
	TOTAL	-	-			
2.			-			
	TOTAL	-	-			
3.			-			
	TOTAL	-	-			
4.			-			
	TOTAL	-	-			
5.			-			
	TOTAL	-	-			
6.			-			
	TOTAL	-	-			
7.			-			
	TOTAL	-	-			
8.			-			
	TOTAL	-	-			
9.			-			
	TOTAL	-	-			
10.			-			
	TOTAL	-	-			
TOTAL SHARES & AMOUNT SUBSCRIBED		-	-	-		
TOTAL AMOUNT OF PAID-UP CAPITAL PhP					-	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

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CORPORATE NAME: VANTAGE EQUITIES, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION*	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS	N/A		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
N/A			
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	135,599,500	3.23%	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR: ₱3,947,946,630.00			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N/A		
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
TOTAL			
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N/A			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.	Permit to Sell Securities	N/A	N/A
DATE ISSUED:	4-Mar-93		
DATE STARTED OPERATIONS:	Jun-98		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
PHP5,864,418	5	0	5
*Vantage Equities, Inc. is a holding company which has for its purpose investment in other companies			
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

I, **ADRIAN S. BUSTOS**, ASSISTANT CORPORATE SECRETARY OF THE ABOVEMENTIONED CORPORATION, DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET (GIS) WHICH CONSISTS OF SEVEN (7) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.

DONE THIS 7TH DAY OF SEPTEMBER 2016 IN PASIG CITY.

ADRIAN S. BUSTOS
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME THIS 7TH DAY OF SEPTEMBER 2016 IN PASIG CITY, METRO MANILA. AFFIANT IS PERSONALLY KNOWN TO ME AND WAS IDENTIFIED BY ME THROUGH COMPETENT EVIDENCE OF IDENTITY AS DEFINED IN THE 2004 RULES ON NOTARIAL PRACTICE (A.M. NO. 02-8-13-SC). AFFIANT EXHIBITED TO ME HIS INTEGRATED BAR OF THE PHILIPPINES ID, WITH HIS PHOTOGRAPH AND SIGNATURE APPEARING THEREON, WITH ROLL OF ATTORNEYS WITH NO. 62610, NON-PROFESSIONAL DRIVER'S LICENSE WITH NO. C10-04-100129 THAT WILL EXPIRES ON 15 SEPTEMBER 2016 AND HIS COMMUNITY TAX CERTIFICATE NO. 15181389 ISSUED ON 07 JANUARY 2016 AT MANILA.

DOC. NO. 352 ;
PAGE NO. 73 ;
BOOK NO. 1 ;
SERIES OF 2016 .

CARLA MAE E. BADI
Notary Public for Cities of Pasig and San Juan
and in the Municipality of Pateros
Appointment No. 157 (2016-2017)
Commission Expires on December 31, 2017
2704 East Tower, RSE Centre, Exchange Road
Ortigas Center, 1605 Pasig City
PTR No. 2445444-01, 11-2016-Mandaluyong
IBP No. 1023306/ 01-08-2015/RSM
Roll of Attorneys No. 63373

File No. 213-2-004\AB\KTJCN\ASBJ\janel