

## GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR **2019**  
STOCK CORPORATION

### GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N/A" IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: <div style="text-align: center;"><b>VANTAGE EQUITIES, INC.</b></div>	DATE REGISTERED: 20-Oct-92
BUSINESS/TRADE NAME: <div style="text-align: center;">N/A</div>	FISCAL YEAR END:
SEC REGISTRATION NUMBER: <div style="text-align: center;">AS092-007059</div>	31-Dec
DATE OF ANNUAL MEETING PER BY-LAWS: <div style="text-align: center;">LAST FRIDAY OF JUNE</div>	CORPORATE TAX IDENTIFICATION NUMBER (TIN) 002-010-620
ACTUAL DATE OF ANNUAL MEETING: <div style="text-align: center;">31-Aug-19</div>	WEBSITE/URL ADDRESS: www.vantage.com.ph
COMPLETE PRINCIPAL OFFICE ADDRESS: 15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City	E-MAIL ADDRESS: N/A
COMPLETE BUSINESS ADDRESS: 15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City	FAX NUMBER: 250-8726
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: Sycip Gorres Velayo & Co., /Juan Carlo Maminta	SEC ACCREDITATION NUMBER (if applicable): 1699-A
TELEPHONE NUMBER(S): 250-8750	
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Holding/Investment	INDUSTRY CLASSIFICATION: Financial
	GEOGRAPHICAL CODE: 134403000

### ===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
Vantage Financial Corporation	A1998-02914	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City
Philequity Management, Inc.	AS094-002447	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City
ICurrencies, Inc.	A200001286	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City
Philequity Balanced Fund, Inc.	CS200805554	2004 East Tower, Philippine Stock Exchange Centre Exchange Road, Ortigas Center, Pasig City
eBiz Financial Services, Inc.	CS2000505016	2004 East Tower, Philippine Stock Exchange Centre Exchange Road, Ortigas Center, Pasig City
Philequity Foreign Currency Fixed Income Fund, Inc.	CS200805173	2004 East Tower, Philippine Stock Exchange Centre Exchange Road, Ortigas Center, Pasig City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

VANTAGE EQUITIES, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)

☐ Yes ☒ No

Please check the appropriate box:

1.

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

2.

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☐ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

3.

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. ☐

Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. ☐

Jewelry dealers in precious stones, who, as a business, trade in precious stone

6.

Company service providers which, as a business, provide any of the following services to third parties:

- ☐ a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- ☐ c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- ☐ d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7.

Persons who provide any of the following services:

- ☐ a. managing of client money, securities or other assets
- ☐ b. management of bank, savings or securities accounts
- ☐ c. organization of contributions for the creation, operation or management of companies
- ☐ d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. ☒

None of the above

Describe nature of business:

Holding/Investment

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

☐ Yes ☒ No

# GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **VANTAGE EQUITIES, INC.**

## CAPITAL STRUCTURE

### AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	5,000,000,000	1.00	5,000,000,000.00
	<b>TOTAL</b>	<b>5,000,000,000</b>	<b>TOTAL P</b>	<b>5,000,000,000.00</b>

### SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	602	Common	4,192,575,449		1.00	4,192,575,449.00	99.83%
		<b>TOTAL</b>	<b>4,192,575,449</b>			<b>4,192,575,449.00</b>	<b>99.83%</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
AMERICAN	3	Common	416,436		1.00	416,436.00	0.01%
CHINESE	3	Common	138,498		1.00	138,498.00	0.00%
OTHERS	5	Common	6,451,883		1.00	6,451,883.00	0.15%
Percentage of Foreign Equity :			<b>TOTAL</b>	<b>7,006,817</b>		<b>7,006,817.00</b>	<b>0.17%</b>
<b>TOTAL SUBSCRIBED P</b>						<b>4,199,582,266.00</b>	<b>100.00%</b>

### PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	602	Common	4,192,575,449	1.00	4,192,575,449.00	99.83%
		<b>TOTAL</b>	<b>4,192,575,449</b>		<b>4,192,575,449.00</b>	<b>99.83%</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
AMERICAN	3	Common	416,436	1.00	416,436.00	0.01%
CHINESE	3	Common	138,498	1.00	138,498.00	0.00%
OTHERS	5	Common	6,451,883	1.00	6,451,883.00	0.15%
		<b>TOTAL</b>	<b>7,006,817</b>		<b>7,006,817.00</b>	<b>0.17%</b>
<b>TOTAL PAID-UP P</b>					<b>4,199,582,266.00</b>	<b>100.00%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **VANTAGE EQUITIES, INC.**

### DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. VALENTINO C. SY		N	C	M	Y	Chairman	N/A	
2. EDMUNDO MARCO P. BUNYI, JR.		N	M	M	Y	President & CEO	A&R/M CG/M	
3. JOSEPH L. ONG		N	M	M	Y	Treasurer	N/A	
4. GREGORIO T. YU		Y	I	M	Y	N/A	A&R/C CG/M	
5. ANTONIO R. SAMSON		N	I	M	Y	N/A	A&R/M CG/C	
6. BERT C. HONTIVEROS		N	I	M	Y	N/A	A&R/M CG/M	
7. IGNACIO B. GIMENEZ		N	M	M	Y	N/A	N/A	
8. ROBERTO Z. LORAYES		N	M	M	Y	N/A	N/A	
9. WILLY N. OCIER		N	M	M	Y	N/A	N/A	
10. WILSON L. SY		Y	M	M	Y	N/A	N/A	
11. TIMOTHY BRYCE A. SY		N	M	M	Y	N/A	N/A	
12. KEVIN NEIL A. SY		N	M	M	Y	N/A	A&R/M CG/M	
13. DARLENE MAE A. SY		N	M	F	Y	N/A	N/A	
14. A. BAYANI K. TAN		N	N	M	Y	Corporate Secretary	N/A	
15. ANN MARGARET K. LORENZO		N	N	F	N	Assistant Corporate Secretary	N/A	
16. MA. ANGELICA D. CABANIT		N	N	F	N	Compliance Officer	N/A	

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.



# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

**VANTAGE EQUITIES, INC.**

TOTAL NUMBER OF STOCKHOLDERS:

613

NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:

609

TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:

Php9,928,052,821.00

### STOCKHOLDER'S INFORMATION

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (Php)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (Php)	% OF OWNERSHIP		
1. Please see attached List of Top 20 Stockholders and PDTC Nominee as of 31 August 2019 (Annex A)			-			
	TOTAL	-	-			
2.			-			
	TOTAL	-	-			
3.			-			
	TOTAL	-	-			
4.			-			
	TOTAL	-	-			
5.			-			
	TOTAL	-	-			
6.			-			
	TOTAL	-	-			
7.			-			
	TOTAL	-	-			
8.			-			
	TOTAL	-	-			
9.			-			
	TOTAL	-	-			
10.			-			
	TOTAL	-	-			
TOTAL SHARES & AMOUNT SUBSCRIBED		-	-			
TOTAL AMOUNT OF PAID-UP CAPITAL						-

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

# GENERAL INFORMATION SHEET STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

VANTAGE EQUITIES, INC.

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION		AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)		DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
N/A			
3. TREASURY SHARES		NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
		135,599,500	3.13%
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR:			Php4,827,884,863.00
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			N/A
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH	N/A		
5.2 STOCK	N/A		
5.3 PROPERTY	N/A		
TOTAL		-	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N/A			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: N/A			
NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	Permit to Sell Securities	N/A	N/A
DATE ISSUED:	4-Mar-93		
DATE STARTED OPERATIONS:	1992		
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
Php2,644,782.00	6	0	6
NOTE: USE ADDITIONAL SHEET IF NECESSARY			

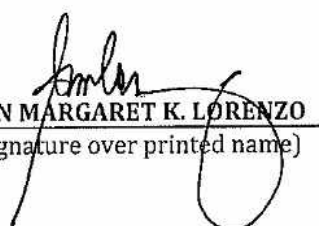
I, **ANN MARGARET K. LORENZO**, Assistant Corporate Secretary of **VANTAGE EQUITIES, INC.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

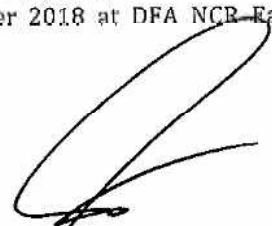
I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the Corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).

Done this 27th day of September 2019 in Pasig City.

  
**ANN MARGARET K. LORENZO**  
(Signature over printed name)

SUBSCRIBED AND SWORN TO before me in Pasig City this 27th day of September 2019 by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of Philippine Passport No. P9475373A issued on 9 November 2018 at DFA NCR-East and valid until 8 November 2028.

  
**ISAIAH G. SAN MIGUEL**  
Notary Public for Cities of Pasig and San Juan  
and in the Municipality of Pateros  
Appointment No. 225 (2019-2020)  
Commission Expires on December 31, 2020  
2704 East Tower, PSE Centre, Exchange Road  
Ortigas Center, 1605 Pasig City  
PTR No. 2815930/02.28.19/Mandaluyong  
ICE No. LON-013775/04.22.15/PPLM  
Roll of Attorneys No. 64234  
MCLE No. VI-0025555/04.15.19

Doc. No. 110 ;  
Book No. 2 ;  
BOOK NO. 1 ;  
Series of 2019 .

File No.: 213-200 ABKT\JCN\AMKL\MSG

# ANNEX "A"

**VANTAGE EQUITIES, INC.  
LIST OF TOP 20 STOCKHOLDERS  
AS OF AUGUST 31, 2019**

	STOCKHOLDERS' NAME	NO. OF SHARES	AMOUNT	% OF OWNERSHIP
1	PCD NOMINEE CORP.	4,218,455,214	Php 4,218,455,214.00	97.31%
2	EAST PACIFIC INVESTORS CORPORATION	9,040,000	Php 9,040,000.00	0.21%
3	A. BROWN COMPANY, INC.	6,882,500	Php 6,882,500.00	0.16%
4	LUCIO W. YAN &/OR CLARA YAN	6,812,500	Php 6,812,500.00	0.16%
5	PCD NOMINEE CORPORATION (NON-FILIPINO)	5,603,133	Php 5,603,133.00	0.13%
6	WILLY NG OCIER	4,616,000	Php 4,616,000.00	0.11%
7	RICARDO L. NG	3,248,750	Php 3,248,750.00	0.07%
8	MICHAEL SYIACO	3,000,000	Php 3,000,000.00	0.07%
9	AGAPITO C. BALAGTAS, JR.	2,875,000	Php 2,875,000.00	0.07%
10	APRICINIA B. FERNANDEZ	2,875,000	Php 2,875,000.00	0.07%
11	SUZANNE LIM	2,875,000	Php 2,875,000.00	0.07%
12	CYGNET DEVELOPMENT CORPORATION	2,812,500	Php 2,812,500.00	0.06%
13	JERRY TIU	2,731,250	Php 2,731,250.00	0.06%
14	WILSON L. SY	2,300,000	Php 2,300,000.00	0.05%
15	BON S SYIACO	2,000,000	Php 2,000,000.00	0.05%
16	TRANS- ASIA SECURITIES, INC.	1,830,000	Php 1,830,000.00	0.04%
17	AVESCO MARKETING CORPORATION	1,437,500	Php 1,437,500.00	0.03%
18	MARY TAN DE JESUS	1,412,500	Php 1,412,500.00	0.03%
19	SEC ACCOUNT FAO: VARIOUS CUSTOMERS OF GUOCO SECURITIES (PHILIPPINES), INC.	1,265,000	Php 1,265,000.00	0.03%
20	ELLEN LAY	1,207,500	Php 1,207,500.00	0.03%
		4,283,279,347		
		51,902,419		
		<u>4,335,181,766 *</u>		

\* inclusive of 135,599,500 Treasury Shares