



112122018002088



SECURITIES AND EXCHANGE COMMISSION

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. AS92007059
Company Name VANTAGE EQUITIES, INC.
Industry Classification
Company Type Stock Corporation

Document Information

Document ID 112122018002088
Document Type LETTER/MISC
Document Code LTR
Period Covered December 12, 2018
No. of Days Late 0
Department CED/CFD/CRMD/MRD/NTD
Remarks CERT OF ATTENDANCE

COVER SHEET

A S O 9 2 - 0 0 7 0 5 9
SEC Registration Number

V A N T A G E E Q U I T I E S , I N C .

(Company's Full Name)

**1 5 T H F L O O R , P H I L I P P I N E S T O C K
E X C H A N G E , 5 T H A V E N U E C O R N E R
2 8 T H S T R E E T , B O N I F A C I O G L O B A L
C I T Y , T A G U I G C I T Y**

(Business Address: No. Street City / Town / Province)

ANN MARGARET K. LORENZO

632-0905
Company Telephone Number

1 2 **3 1**
Month Day
Fiscal Year

Month Day
Annual Meeting

Letter dated 12 December 2018
Re: Attendance in Corporate Governance Seminar

Secondary License Type, if applicable

Department Requiring this Doc.

Amended Articles Number/Section

Total No. of Stockholders

Total Amount of Borrowings
Domestic Foreign

To be Accomplished by SEC Personnel Concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. use black ink for scanning purposes

Tan Venturanza Valdez

30 Years of Advocacy

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HOSANNA T. AYSON
RENO R. GONZALES, JR.
JASON C. NALUPTA
CONRAD S. TOLENTINO

ANNA FRANCESCA C. RESPICIO

ANN MARGARET K. LORENZO
RUTH V. RICARDO
PAULA CARISSA V. KINTANAR
KRISTINE JANE R. LIU
PATRICIA ANN M. CRUZ
RAMON GABRIEL K. CARASIG
RHEALETH KRIZELLE D. RAMOS
JELORIE F. GALLEGO

12 December 2018

SECURITIES AND EXCHANGE COMMISSION
Corporate Governance and Finance Department
North Wing Hall, Ground Floor,
Secretariat Building, PICC Complex,
Vicente Sotto Street, Pasay City

Attention: **Atty. Rachel Ester Gumtang-Remalante**
Officer-in-Charge
Corporate Governance and Finance Department

Re: **Vantage Equities, Inc.**
Attendance in Corporate Governance Seminar

Madam:


In compliance with SEC Memorandum Circular No. 20, Series of 2013, please be advised that the following directors and officers of the Corporation have attended the required seminar on corporate governance entitled "Ethical Decision - Making Workshop" on 08 December 2018 conducted by the CFA Society Philippines, a duly accredited institutional training provider of the Commission:

	Name	Position
1.	Valentino C. Sy	Chairman
2.	Edmundo Marco P. Bunyi, Jr.	President & CEO
3.	Joseph L. Ong	Director/Treasurer
4.	Antonio R. Samson	Independent Director
5.	Bert C. Hontiveros	Independent Director
6.	Ignacio B. Gimenez	Director
7.	Timothy Bryce A. Sy	Director
8.	Kevin Neil A. Sy	Director
9.	Darlene Mae A. Sy	Director
10.	Ma. Angelica D. Cabanit	Compliance Officer

Enclosed herewith are copies of the Certificates of Attendance of the foregoing directors and officers of the Corporation.

Thank you for your kind attention.

Very truly yours,


ANN MARGARET K. LORENZO
Assistant Corporate Secretary

Philippine Member:





**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to

VALENTINO C. SY

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcon Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SEC Accreditation No. 022018-015), this workshop can be credited for four (4) corporate governance training hours.

[Signature]
Dr. Robert Ramos, CFA, CIPM

Board of Trustee

CFA Society Philippines

[Signature]
Ms. Rizelle Manao

Program Director

CFA Society Philippines



presents this

CERTIFICATE OF

ATTENDANCE

to

EDMUNDO MARCO P. BUNYI JR.

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SEC Accreditation No. CG2018-015), this workshop can be credited for four (4) corporate governance training hours.

[Signature]
Dr. Robert Ramos, CFA, CIPM
Board of Trustee
CFA Society Philippines

[Signature]
Ms. Ritzelle Manago
Program Director
CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to

JOSEPH L. ONG

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

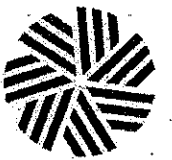
As an accredited institutional training provider on corporate governance (SEC Accreditation No. CG2018-015), this workshop can be credited for four (4) corporate governance training hours.

[Signature]
Dr. Robert Ramos, CFA, CIPM

Board of Trustee
CFA Society Philippines

[Signature]
Ms. Richele Mannaog

Program Director
CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to

ANTONIO R. SAMSON

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given, this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SEC Accreditation No. CC2018-015),
this workshop can be credited for four (4) corporate governance training hours.


Dr. Robert Ramos, CFA, CIPM
Board of Trustee
CFA Society Philippines


Ms. Ryzelle Mannaog
Program Director
CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to


BERT C. HONTIVEROS

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SEC Accreditation No. CG2018-015),
this workshop can be credited for four (4) corporate governance training hours.


Dr. Robert Ramos, CFA, CIPM
Board of Trustee
CFA Society Philippines


Ms. Ritzelle Manao
Program Director
CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to


IGNACIO B. GIMENEZ

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SEC Accreditation No. 032018-015),
this workshop can be credited for four (4) corporate governance training hours.


Dr. Roberly Ramos, CFA, CIPM
Board of Trustees
CFA Society Philippines


Ms. Rixelle Manao
Program Director
CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to

TIMOTHY BRYCE A. SY

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Saloedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SFC Accreditation No. CG2018-015), this workshop can be credited for four (4) corporate governance training hours.

[Signature]
Dr. Robert Ramos, CFA, CIPM

Board of Trustee
CFA Society Philippines

[Signature]
Ms. Ritzelle Banaag

Program Director
CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to

KEVIN A. SY

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SEC Accreditation No. CG2018-015), this workshop can be credited for four (4) corporate governance training hours.

[Signature]
Dr. Robert Ramos, CFA, CIPM

Board of Trustee

CFA Society Philippines

[Signature]
Ms. Rizcalle Mannaog

Program Director

CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to

DARLENE A. SY

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SFC Accreditation No. CG2018-015),
this workshop can be credited for four (4) corporate governance training hours.

[Signature]
Dr. Robert Ramos, CFA, CIPM

Board of Trustee

CFA Society Philippines

[Signature]
Ms. Ritzhelle Manaoag

Program Director

CFA Society Philippines



**CFA Society
Philippines**

presents this

CERTIFICATE OF

ATTENDANCE

to

MA. ANGELICA D. CABANIT

for attending the

ETHICAL DECISION-MAKING WORKSHOP

given this 8th December 2018 at Philcom Bldg., Paseo de Roxas, Salcedo Village, Makati City.

As an accredited institutional training provider on corporate governance (SEC Accreditation No. CE2018-015), this workshop can be credited for four (4) corporate governance training hours.

[Signature]
Dr. Robert Ramos, CFA, CFP™

Board of Trustee
CFA Society Philippines

[Signature]
Ms. Rhyzelie Manao

Program Director
CFA Society Philippines