



## SECURITIES AND EXCHANGE COMMISSION

Secretariat Building, PICC Complex, Roxas Boulevard, Pasay City, 1307 Metro Manila Philippines

Tel: (632) 818-0921 Fax: (632) 818-5293 Email: mis@sec.gov.ph



**The following document has been received:**

**Receiving:** Edmundo Guia

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### Company Information

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**SEC Registration No.:** AS92007059

**Company Name:** VANTAGE EQUITIES, INC.

**Industry Classification:** J66940

**Company Type:** Stock Corporation

### Document Information

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**Document ID:** OST1102920218170152

**Document Type:** General Information Sheet

**Document Code:** GIS

**Period Covered:** September 30, 2021

**Submission Type:** GIS

**Remarks:** None

# GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2021

STOCK CORPORATION

## GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS.

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:	<b>VANTAGE EQUITIES, INC.</b>	DATE REGISTERED:	20-Oct-92
BUSINESS/TRADE NAME:	N/A	FISCAL YEAR END:	
SEC REGISTRATION NUMBER:	AS092-007059		31-Dec
DATE OF ANNUAL MEETING PER BY-LAWS:	LAST FRIDAY OF JUNE	CORPORATE TAX IDENTIFICATION NUMBER (TIN)	002-010-620
ACTUAL DATE OF ANNUAL MEETING:	September 30, 2021	WEBSITE/URL ADDRESS:	<a href="http://www.vantage.com.ph">www.vantage.com.ph</a>
COMPLETE PRINCIPAL OFFICE ADDRESS:	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City	E-MAIL ADDRESS:	N/A
COMPLETE BUSINESS ADDRESS:	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City	FAX NUMBER:	250-8726
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER:	Sycip Gorres Velayo & Co., /Juan Carlo Maminta	SEC ACCREDITATION NUMBER (if applicable):	1699-A
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:	Holding/Investment	INDUSTRY CLASSIFICATION:	Financial
		TELEPHONE NUMBER(S):	8250-8750
		GEOGRAPHICAL CODE:	134403000

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
N/A		
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
Vantage Financial Corporation	A1998-02914	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City
Philequity Management, Inc.	AS094-002447	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City
ICurrencies, Inc.	A200001286	15th Floor Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City
Philequity Balanced Fund, Inc.	CS200805554	2004 East Tower, Philippine Stock Exchange Centre Exchange Road, Ortigas Center, Pasig City
Philequity MSCI Philippines Index Fund, Inc.	CS201739726	15th Floor, Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City, Metro Manila, Philippines
Philequity Alpha One Fund, Inc.	CS201902172	15th Floor, Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City, Metro Manila, Philippines
Philequity Global Fund, Inc.	CS201907432	15th Floor, Phil. Stock Exchange, 5th Avenue corner 28th Street Bonifacio Global City, Taguig City, Metro Manila, Philippines
Philequity Foreign Currency Fixed Income Fund, Inc.	CS200805173	2004 East Tower, Philippine Stock Exchange Centre Exchange Road, Ortigas Center, Pasig City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:

**VANTAGE EQUITIES, INC.**

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**

☒ Yes ☐ No

Please check the appropriate box:

**1.**

- ☐ a. Banks
- ☐ b. Offshore Banking Units
- ☐ c. Quasi-Banks
- ☐ d. Trust Entities
- ☐ e. Non-Stock Savings and Loan Associations
- ☐ f. Pawnshops
- ☐ g. Foreign Exchange Dealers
- ☐ h. Money Changers
- ☐ i. Remittance Agents
- ☐ j. Electronic Money Issuers
- ☐ k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

**2.**

- ☐ a. Insurance Companies
- ☐ b. Insurance Agents
- ☐ c. Insurance Brokers
- ☐ d. Professional Reinsurers
- ☐ e. Reinsurance Brokers
- ☒ f. Holding Companies
- ☐ g. Holding Company Systems
- ☐ h. Pre-need Companies
- ☐ i. Mutual Benefit Association
- ☐ j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

**3.**

- ☐ a. Securities Dealers
- ☐ b. Securities Brokers
- ☐ c. Securities Salesman
- ☐ d. Investment Houses
- ☐ e. Investment Agents and Consultants
- ☐ f. Trading Advisors
- ☐ g. Other entities managing Securities or rendering similar services
- ☐ h. Mutual Funds or Open-end Investment Companies
- ☐ i. Close-end Investment Companies
- ☐ j. Common Trust Funds or Issuers and other similar entities
- ☐ k. Transfer Companies and other similar entities
- ☐ l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- ☐ m. Entities administering or otherwise dealing in valuable objects
- ☐ n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

**4.**

Jewelry dealers in precious metals, who, as a business, trade in precious metals

**5.**

Jewelry dealers in precious stones, who, as a business, trade in precious stone

**6.**

Company service providers which, as a business, provide any of the following services to third parties:

☐

- a. acting as a formation agent of juridical persons
- ☐ b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons

☐

- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

☐

- d. acting as (or arranging for another person to act as) a nominee shareholder for another person

**7.**

Persons who provide any of the following services:

☐

- a. managing of client money, securities or other assets

☐

- b. management of bank, savings or securities accounts

☐

- c. organization of contributions for the creation, operation or management of companies

☐

- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

**8.**

None of the above

Describe nature of business:

Holding/Investment

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**

☒ Yes ☐ No

# GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **VANTAGE EQUITIES, INC.**

## CAPITAL STRUCTURE

### AUTHORIZED CAPITAL STOCK

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
	Common	5,000,000,000	1.00	5,000,000,000.00
				-
<b>TOTAL</b>		<b>5,000,000,000</b>	<b>TOTAL P</b>	<b>5,000,000,000.00</b>

### SUBSCRIBED CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	598	Common	4,181,891,699		1.00	4,181,891,699.00	99.58%
<b>TOTAL</b>			<b>4,181,891,699</b>			<b>4,181,891,699.00</b>	<b>99.58%</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
AMERICAN	3	Common	416,436		1.00	416,436.00	0.01%
CHINESE	3	Common	138,498		1.00	138,498.00	0.00%
OTHERS	5	Common	17,135,633		1.00	17,135,633.00	0.41%
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>17,690,567</b>		<b>17,690,567.00</b>	<b>0.42%</b>
<b>TOTAL SUBSCRIBED P</b>						<b>4,199,582,266.00</b>	<b>100.00%</b>

### PAID-UP CAPITAL

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	598	Common	4,181,891,699	1.00	4,181,891,699.00	99.58%
<b>TOTAL</b>			<b>4,181,891,699</b>		<b>4,181,891,699.00</b>	<b>99.58%</b>
FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
AMERICAN	3	Common	416,436	1.00	416,436.00	0.01%
CHINESE	3	Common	138,498	1.00	138,498.00	0.00%
OTHERS	5	Common	17,135,633	1.00	17,135,633.00	0.41%
<b>TOTAL</b>			<b>17,690,567</b>		<b>17,690,567.00</b>	<b>0.42%</b>
<b>TOTAL PAID-UP P</b>					<b>4,199,582,266.00</b>	<b>100.00%</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** **VANTAGE EQUITIES, INC.**

### DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. VALENTINO C. SY 19 Brixton Hills Street, Quezon City	Filipino	N	C	M	Y	Chairman	N/A	122-335-536
2. EDMUNDO MARCO P. BUNYI, JR. 8 Batangas Bay Drive, Southbay Garden Parañaque City	Filipino	N	M	M	Y	President & CEO	A&R/M CG/M	107-184-956
3. JOSEPH L. ONG 178 Pilar Street, San Juan City	Filipino	N	M	M	Y	Treasurer	N/A	108-789-427
4. GREGORIO T. YU 10 Francis Street, Corinthian Gardens Quezon City	Filipino	Y	I	M	Y	N/A	A&R/C CG/M	107-465-655
5. ANDY O. CO Avocado St., Brgy. Ugong Pasig City	Filipino	N	I	M	Y	N/A	A&R/M CG/M	100-052-873
6. BERT C. HONTIVEROS Unit 5G Cortijos Bldg., #25 Eisenhower Street, Greenhills, San Juan City	Filipino	N	I	M	Y	N/A	A&R/M CG/C	139-584-952
7. IGNACIO B. GIMENEZ 25 Eisenhower Street, Greenhills San Juan City	Filipino	N	M	M	Y	N/A	N/A	228-111-486
8. ROBERTO Z. LORAYES 16 Rosario Street, Magallanes Village Makati City	Filipino	N	M	M	Y	N/A	N/A	110-129-867
9. WILLY N. OCIER 32 Wilson Street, San Juan City	Filipino	N	M	M	Y	N/A	N/A	101-934-954
10. WILSON L. SY Unit 7D South Tower, 4th Ave West Crescent Park, BGC, Taguig City	Filipino	Y	M	M	Y	N/A	N/A	122-113-170
11. TIMOTHY BRYCE A. SY 57 Vicente Rufino Street, Corinthian Gardens, Quezon City	Filipino	N	M	M	Y	N/A	N/A	211-681-970
12. KEVIN NEIL A. SY 5 Navarro St, Corinthian Gardens, Quezon City	Filipino	N	M	M	Y	N/A	A&R/M CG/M	215-036-998
13. DARLENE MAE A. SY 9 Pennsylvania St, New Manila, Quezon City	Filipino	N	M	F	Y	N/A	N/A	231-781-750
14. JONATHAN P. ONG Unit D, REM Townhomes II, Aurora Street, Isidora Hills, Diliman, Quezon City	Filipino	N	N	M	N	Corporate Secretary	N/A	162-906-632
15. MA. ANGELICA D. CABANIT 2003 Raymundo Street, Parkview Homes, Sunvalley, Parañaque City	Filipino	N	N	F	N	Compliance Officer	N/A	117-484-974

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

GENERAL INFORMATION SHEET						
STOCK CORPORATION						
===== PLEASE PRINT LEGIBLY =====						
CORPORATE NAME: <b>VANTAGE EQUITIES, INC.</b>						
TOTAL NUMBER OF STOCKHOLDERS:		609		NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:		605
TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:				Php8,529,450,823.00		
STOCKHOLDER'S INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1. Please see attached List of Top 20 Stockholders and PDTC Nominee as of 30 September 2021 (Annex A)			-	#DIV/0!		
	TOTAL	-	-			
2.			-	#DIV/0!		
	TOTAL	-	-			
3.			-	#DIV/0!		
	TOTAL	-	-			
4.			-	#DIV/0!		
	TOTAL	-	-			
5.			-	#DIV/0!		
	TOTAL	-	-			
6.			-	#DIV/0!		
	TOTAL	-	-			
7.			-	#DIV/0!		
	TOTAL	-	-			
8.			-	#DIV/0!		
	TOTAL	-	-			
9.			-	#DIV/0!		
	TOTAL	-	-			
10.			-	#DIV/0!		
	TOTAL	-	-			
TOTAL SHARES & AMOUNT SUBSCRIBED		-	-	#DIV/0!		
TOTAL AMOUNT OF PAID-UP CAPITAL					-	
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

## GENERAL INFORMATION SHEET STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: **VANTAGE EQUITIES, INC.**

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS		
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)		
1.3 LOANS/ CREDITS/ ADVANCES		
1.4 GOVERNMENT TREASURY BILLS		
1.5 OTHERS		
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
N/A		
3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR:		Php4,368,419,774.00
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:		N/A
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH	N/A	
5.2 STOCK	N/A	
5.3 PROPERTY	N/A	
TOTAL	-	
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: N/A		
DATE	NO. OF SHARES	AMOUNT
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: N/A		
NAME OF AGENCY:	SEC	B S P
TYPE OF LICENSE/REGN.	Permit to Sell Securities	N/A
DATE ISSUED:	4 Mar 93	
DATE STARTED OPERATIONS:	Jun 98	
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES
Php2,666,667.00	1	0
TOTAL MANPOWER COMPLEMENT		
1		
NOTE: USE ADDITIONAL SHEET IF NECESSARY		

I, **Joseph P. Ong**, Corporate Secretary of **VANTAGE EQUITIES, INC.**, declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the Corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).


Done this 29th day of October 2021 in Taguig City.

  
**JONATHAN P. ONG**

(Signature over printed name)

OCT 29 2021

SUBSCRIBED AND SWORN TO before me in Taguig City this \_\_\_\_ day of October 2021 by affiant who personally appeared before me and exhibited to me her competent evidence of identity consisting of Driver's License No. 86-018179 issued on 28 December 2018 at Quezon City.

  
**ATTY. HENRY D. ALASA**  
NOTARY PUBLIC CITY OF MANILA  
UNTIL DECEMBER 31, 2021  
NOTARIAL COMMISSION 2020-097 MLA  
ISP NO. 141253 - 01/04/2021, PASIG  
PTR NO. 9823148 - 01/05/2021, MLA  
ROLL NO. 29679, TIN: 172-528-620  
MCLE COMPL. NO. VII-0000165  
URBAN DECA HOMES MANILA, B-2, UNIT 354

Doc. No. 19 ;  
Book No. 5 ;  
BOOK NO. 21 ;  
Series of 2020 .



## BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:	AS092-007059
CORPORATE NAME:	VANTAGE EQUITIES, INC.

### Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

**"Beneficial Owner"** refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

### Category

### Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B** Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III)	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup>  Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Sy, Valentino C.*	19 Brixton Hills Street Quezon City	Filipino	13 02 1956	122-335-536	10.53%	D	President of Creative Wisdon***

\*Pursuant to SEC MC 15-2019 Section 6, 2nd. Par

\*\*Mr. Valentino C Sy owns 25% of Creative Wisdom Inc; Creative Wisdom in turns owns 42.12% of Vantage Equities Inc (.25x.4212=.1053 x 100=10.53%)

\*\*\*Creative W \*\*\*Creative Wisdom Inc. Is under PCD Nominee Corp

**Note: This page is not for uploading on the SEC iView.**

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.

**VANTAGE EQUITIES, INC.**  
**LIST OF TOP 20 STOCKHOLDERS**  
**AS OF SEPTEMBER 30, 2021**

	STOCKHOLDERS' NAME	TYPE OF SHARES	No. of Shares	Amount	% of Ownership
1	PCD NOMINEE CORP.	Common	4,073,392,650	Php 4,073,392,650.00	97.00%
2	PCD NOMINEE CORPORATION (NON-FILIPINO)	Common	16,286,883	Php 16,286,883.00	0.39%
3	EAST PACIFIC INVESTORS CORPORATION	Common	9,040,000	Php 9,040,000.00	0.22%
4	A. BROWN COMPANY, INC.	Common	6,882,500	Php 6,882,500.00	0.16%
5	LUCIO W. YAN &/OR CLARA YAN	Common	6,812,500	Php 6,812,500.00	0.16%
6	WILLY NG OCIER	Common	4,616,000	Php 4,616,000.00	0.11%
7	RICARDO L. NG	Common	3,248,750	Php 3,248,750.00	0.08%
8	MICHAEL SYIACO	Common	3,000,000	Php 3,000,000.00	0.07%
9	AGAPITO C. BALAGTAS, JR.	Common	2,875,000	Php 2,875,000.00	0.07%
10	APRICINIA B. FERNANDEZ	Common	2,875,000	Php 2,875,000.00	0.07%
11	SUZANNE LIM	Common	2,875,000	Php 2,875,000.00	0.07%
12	CYGNET DEVELOPMENT CORPORATION	Common	2,812,500	Php 2,812,500.00	0.07%
13	JERRY TIU	Common	2,731,250	Php 2,731,250.00	0.07%
14	WILSON L. SY	Common	2,300,000	Php 2,300,000.00	0.05%
15	BON S SYIACO	Common	2,000,000	Php 2,000,000.00	0.05%
16	TRANS- ASIA SECURITIES, INC.	Common	1,830,000	Php 1,830,000.00	0.04%
17	AVESCO MARKETING CORPORATION	Common	1,437,500	Php 1,437,500.00	0.03%
18	MARY TAN DE JESUS	Common	1,412,500	Php 1,412,500.00	0.03%
19	SEC ACCOUNT FAO: VARIOUS CUSTOMERS OF GUOCO SEC	Common	1,265,000	Php 1,265,000.00	0.03%
20	ELLEN LAY	Common	1,207,500	Php 1,207,500.00	0.03%
		Subtotal	4,148,900,533		
		Others	50,681,733		
		<b>Total</b>	<b>4,199,582,266</b>		